

# PATENT COOPERATION TREATY

ED-561-123-259-US

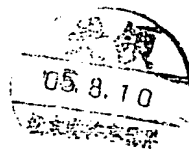
From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:  
MATSUMOTO Takehiko

25-6, Hannan-cho  
1-chome, Abeno-ku, Osaka-shi, Os  
aka 5450021 Japan

**PCT**

NOTIFICATION OF TRANSMITTAL OF  
INTERNATIONAL PRELIMINARY  
REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)  
(PCT Rule 71.1)



Date of mailing  
(day/month/year) 09.8.2006

Applicant's or agent's file reference  
03039PCT

## IMPORTANT NOTIFICATION

International application No. PCT/JP2004/004323	International filing date (day/month/year) 26.03.2004	Priority date (day/month/year) 14.04.2003
--	--	--

Applicant

NIPPON VALQUA INDUSTRIES, LTD.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the *PCT Applicant's Guide*.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and Industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed invention is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the IPEA/JP

**Japan Patent Office**

3-4-3, Kasumigaseki, Chiyoda-ku, Tokyo 100-8915, Japan

Authorized officer

Commissioner of the Patent Office

Telephone No. +81-3-3581-1101 Ext. 3368

3W 8714

## ATTENTIONS

### 1. Demand for copy of documents

Copy of the documents described in the international preliminary examination report and not described in the international search report.

An applicant can request the copy of these cited documents to the Japan Patent Office, however, National Center for Industrial Property Information and Training (Japan Patent Office building 2nd floor) handles inspection and copying of official gazettes and copying of other document etc.

[Contact and Reference]

National Center for Industrial Property Information and Training

〒100-0013

3-4-3 Kasumigaseki Chiyoda-ku Tokyo

(Japan Patent Office building 2nd floor)

(Official gazettes) Industrial Property Information Reference Department

TEL: 03-3581-1101 Ext. 3811,3812

(Others) Industrial Property Reference Materials Department

TEL: 03-3581-1101 Ext. 3831,3832,3833

Japan Patent Information Organization also services sales of the copy of these cited documents. Those who request copying of the cited documents should pay attention to the following points.

[Application Method]

(1) As for Patent (Utility Model, Design) Gazette, the following points shall be defined clearly.

- Types of patent, utility model, and design
- Fiscal year and number of publication of application or publication of unexamined application (or patent number, registration number)
- Necessary number of paper sheets

(2) As for documents except for the gazette, the following points are required attention.

- Be sure to attach the copy of the international preliminary examination report (which shall be returned).

[Application and Reference]

〒135-0016

4-1-7 Toyo Koto-ku, Tokyo

Sato Daiya Building

Foundation of Japan Patent Information Organization

Information Processing Department

Copy Service section

TEL: 03-3508-2313

Note) The period for requesting the copy of the documents to Japanese Patent Office is set to 7 years from the international application date.

2. It is necessary to submit the copy of international application (except for cases of already transmitted from the International Bureau) and its prescribed translation, and to pay the national fee. Respective countries set different periods so as to be required attention. (See Treaty Article 22, Article 39, and Article 64 (2) (a) (i))

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)  
(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 03039PCT	<b>FOR FURTHER ACTION</b>	See Form PCT/IPEA/416
International application No. PCT/JP2004/004323	International filing date ( <i>day/month/year</i> ) 26.03.2004	Priority date ( <i>day/month/year</i> ) 14.04.2003
International Patent Classification (IPC) or national classification and IPC Int.Cl. <sup>7</sup> F16J15/10		
Applicant NIPPON VALQUA INDUSTRIES, LTD.		

1.	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2.	This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.
3.	This report is also accompanied by ANNEXES, comprising: <div style="margin-left: 20px;"> a. <input checked="" type="checkbox"/> a total of <u>3</u> sheets, as follows: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). <div style="margin-left: 20px;"> <input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. </div> </div> </div>
4.	This report contains indications relating to the following items: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Box No. I Basis of the report  <input type="checkbox"/> Box No. II Priority  <input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  <input type="checkbox"/> Box No. IV Lack of unity of invention  <input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement  <input type="checkbox"/> Box No. VI Certain documents cited  <input type="checkbox"/> Box No. VII Certain defects in the international application  <input type="checkbox"/> Box No. VIII Certain observations on the international application </div>

Date of submission of the demand 08.11.2004	Date of completion of this report 22.07.2005
Name and mailing address of the IPEA/JP  <b>Japan Patent Office</b> 3-4-3, Kasumigaseki, Chiyoda-ku, Tokyo 100-8915, Japan	Authorized officer T: t o h Telephone No. +81-3-3581-1101 Ext. 3368

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/JP2004/004323

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ This report is based on translations from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:

- ☐ international search (under Rules 12.3 and 23.1(b))
- ☐ publication of the international application (under Rule 12.4)
- ☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

☐ the international application as originally filed/furnished

☒ the description:

pages 1-29 as originally filed/furnished

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

☒ the claims:

pages \_\_\_\_\_ as originally filed/furnished

pages\* \_\_\_\_\_ as amended (together with any statement) under Article 19

pages\* 30, 31, 31/1 received by this Authority on 08.11.2004

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

☐ the drawings:

pages \_\_\_\_\_ as originally filed/furnished

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.

3. ☒ The amendments have resulted in the cancellation of:

☐ the description, pages \_\_\_\_\_

☒ the claims, Nos. 1-5

☐ the drawings, sheets/figs \_\_\_\_\_

☐ the sequence listing (specify): \_\_\_\_\_

☐ any table(s) related to sequence listing (specify): \_\_\_\_\_

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

☐ the description, pages \_\_\_\_\_

☐ the claims, Nos. \_\_\_\_\_

☐ the drawings, sheets/figs \_\_\_\_\_

☐ the sequence listing (specify): \_\_\_\_\_

☐ any table(s) related to sequence listing (specify): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/JP2004/004323

**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims	6-10	YES
	Claims		NO
Inventive step (IS)	Claims	6-10	YES
	Claims		NO
Industrial applicability (IA)	Claims	6-10	YES
	Claims		NO

**2. Citations and explanations(Rule 70.7)****1. Documents**

D1:JP 2003-14126 A(NIPPON VALQUA KOGYO LTD.),2003.01.15

D2:JP 2002-39392 A(RIKEN KEIKI LTD.),2002.02.06

2."when the sealing material for the ant groove is fitted to said ant groove ,said concave inlet portion is disposed on an opening cdge of the ant groove,and then the entirely of the sealing material for the ant groove is made to gyrate toward the inside of the ant groove around said concave inlet portion as the base point,so that the sealing material for the ant groove gets pressed into the ant groove in a state where the periphery of said corner portion is elastically deformed" is not described in which documents quoted in the international search report,and not obvious for a person skilled in the art.

Page Blank (uspto)

JC20 Rec'd PCT/PTO 1 4 SEP 2005

## CLAIMS

1. (Cancelled)
- 5 2. (Cancelled)
3. (Cancelled)
4. (Cancelled)
- 10 5. (Cancelled)
6. (Added) A sealing material for an ant groove, which is fitted to the ant groove made in a surface of either one of members in a joint place between these  
15 members and contacts with a surface of the other member, thereby sealing both the members,  
with the sealing material comprising:  
an elastically deformable material; and  
a sectional shape having: a straight bottom edge which is disposed on a bottom  
20 face of said ant groove; an arched convex edge which contacts with the surface of said other member facing said ant groove; a first projecting edge which connects with one end of said bottom edge and projects outside; a concave inlet portion which is located between said first projecting edge and said arched convex edge; a second projecting edge which connects with the other end of said straight bottom edge and is  
25 composed of straight lines that project outside; a straight sloping edge of which one end connects with the opposite end of said arched convex edge as to said first projecting edge and of which the other end connects with said second projecting edge; and at least one corner portion which is constituted in the range of from said

Page blank (uspto)



straight bottom edge via said second projecting edge to said sloping edge;

wherein: when the sealing material for the ant groove is fitted to said ant groove, said concave inlet portion is disposed on an opening edge of the ant groove, and then the entirety of the sealing material for the ant groove is made to gyrate  
5 toward the inside of the ant groove around said concave inlet portion as the base point, so that the sealing material for the ant groove gets pressed into the ant groove in a state where the periphery of said corner portion is elastically deformed.

7. (Added) A sealing material for an ant groove according to claim 6,  
10 wherein the maximum value X of distances of from said corner portion to said concave inlet portion has a relationship of  $X/B = 1.00-1.10$  with an opening width B of said ant groove.

8. (Added) A sealing material for an ant groove according to claim 6 or 7,  
15 wherein:

said second projecting edge is formed by connecting a pair of straight edges together in a convexly crooked shape; and

said corner portion is constituted in the following three places: a place between said straight bottom edge and said second projecting edge; a crooked place of said  
20 second projecting edge; and a place between said second projecting edge and said sloping edge.

9. (Added) A sealing material for an ant groove according to any one of claims 6 to 8, which:

25 further comprises a vertical edge connecting said arched convex edge and said concave inlet portion together; and

makes a clearance between said vertical edge and an opening edge of said ant groove when fitted to said ant groove.

This Page Blank (usptc;

10. (Added) A sealing material for an ant groove according to claim 6,  
which:

is fitted to said ant groove of a ring shape in a ring shape corresponding to said  
5 ant groove of the ring shape;

has a location such that said first projecting edge and said concave inlet portion  
are located at the outer peripheral side of said ring shape;

has a location such that said second projecting edge, said straight sloping edge,  
and said corner portion are located at the inner peripheral side of said ring shape; and

10 has a peripheral length extended by 1-10 % in a state fitted to said ant groove  
of the ring shape when compared with a free state.

This Page Blank (uspto)